

Periodic root branching in *Arabidopsis* requires synthesis of an uncharacterized carotenoid derivative

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In plants, continuous formation of lateral roots (LRs) facilitates efficient exploration of the soil environment. Roots can maximize developmental capacity in variable environmental conditions through establishment of sites competent to form LRs. This LR prepattern is established by a periodic oscillation in gene expression near the root tip. The spatial distribution of competent (prebranch) sites results from the interplay between this periodic process and primary root growth; yet, much about this oscillatory process and the formation of prebranch sites remains unknown. We find that disruption of carotenoid biosynthesis results in seedlings with very few LRs. Carotenoids are further required for the output of the LR clock because inhibition of carotenoid synthesis also results in fewer sites competent to form LRs. Genetic analyses and a carotenoid cleavage inhibitor indicate that an apocarotenoid, distinct from abscisic acid or strigolactone, is specifically required for LR formation. Expression of a key carotenoid biosynthesis gene occurs in a spatially specific pattern along the root's axis, suggesting spatial regulation of carotenoid synthesis. These results indicate that developmental pre patterning of LRs requires an uncharacterized carotenoid-derived molecule. We propose that this molecule functions non-cell-autonomously in establishment of the LR prepattern.

root development | patterning | secondary metabolite synthesis

Anchorage and uptake of water and soluble nutrients are essential functions of plant root systems and key to plant productivity and survival. The capacity of a root system to carry out these functions can be maximized by iterative root branching. Root branches are formed de novo during primary root growth, which allows for the elaboration of a complex root system that effectively enables the plant to navigate and exploit the resources of diverse, locally variable subterranean environments. Understanding the developmental mechanisms underlying the pattern of root branches has broad significance in both basic and applied research.

As with other dicotyledonous plants, formation of a complex root system in the model plant *Arabidopsis thaliana* (*Arabidopsis*) occurs through iterative production of branches, or lateral roots (LRs), from the primary root. The simplified root system of *Arabidopsis* has yielded considerable insight into the cellular events and molecular regulators required for LR formation (recently reviewed in refs. 1–4). In *Arabidopsis*, LRs arise from an internal cell layer, the pericycle, which surrounds the cells of the vascular cylinder (i.e., cambium, xylem, phloem) (5) (Fig. S1 A and B). Pericycle cells adjacent to the xylem pole are unique among cells of this layer because they have a distinct morphology and gene expression profile, as well as the ability to give rise to LRs (6–8). The development of a lateral root primordium (LRP) occurs in seven sequential stages defined by cellular morphology (8). Initiation of an LRP begins when specific xylem pole pericycle cells, called LR founder cells, undergo asymmetrical divisions forming a series of small cells, termed a stage I primordium (7–9). Further cell divisions (stages II–VI) result in development of a dome-shaped LRP. At stage VII, the LRP closely resembles

the primary root tip but remains confined within the primary root. The primordium is considered to be an LR only after it has emerged from the primary root and cell division is activated at its apex (8). Developmental progression of individual LRPs is sensitive to a vast range of developmental and environmental cues (reviewed in ref. 10), which, ultimately, allows for plasticity in root system architecture in variable subterranean environments.

In the root's radial axis, LRs form strictly from a subset of xylem pole pericycle cells; however, for many years, it remained unclear how subsets of cells were specified along the longitudinal axis, that is, how the spacing between LRPs was determined. Because developmental progression and emergence of individual primordia are variable along the length of the primary root, assaying LR number is not necessarily a reliable measure of the total number of sites competent to form an LR or a root's branching capacity (11, 12). Recent evidence shows that time is an important component in establishing the number of sites competent to form LRs (12, 13). In a region of the root tip termed the oscillation zone (OZ) (Fig. S1A), there is a highly dynamic pattern of gene expression that oscillates within a 6-h period (12). Each peak in expression results in formation of a prebranch site, which is competent to develop an LRP subsequently. During a fixed period, the number of prebranch sites formed in roots grown under various conditions was nearly identical, despite changes in primary root length (12). These results suggested that an LR prepattern is established by an endogenous clock-like mechanism, termed the LR clock (12). Because this periodic process is concurrent with primary root growth, the spatial distribution of prebranch sites, and eventually LRs, is not fixed, yet the total number of competent sites appears stable with time.

Significance

A fundamental question in developmental biology is how patterns are established in space and time. In plants, key differences in root system architecture are attributed to the spatial distribution pattern of lateral roots (LRs), yet how the pattern of LRs is established is only beginning to be understood. We demonstrate that the establishment of sites competent to form LRs roots requires carotenoid biosynthesis. Furthermore, our results implicate an uncharacterized carotenoid-derived molecule that functions non-cell-autonomously, specifically in LR formation. The results of this study reveal novel aspects of carotenoid biology and expand the roles of carotenoid-derived molecules into root developmental patterning.

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Local signaling and long-distance signaling are fundamental mechanisms by which plants coordinate developmental processes across cell types and among organs. Many plant-signaling molecules are synthesized from secondary metabolic pathways, including the carotenoid biosynthesis pathway. Carotenoids play critical roles in organisms from all biological kingdoms; yet, synthesis of carotenoids occurs largely in plants and photosynthetic bacteria, where these pigments have essential functions in light harvesting during photosynthesis and in photoprotection (14, 15). Carotenoids also act as precursors in the synthesis of a range of small molecules (apocarotenoids) with diverse functions across the plant and animal kingdoms (16–18). For example, carotenoid pigments and volatile apocarotenoids, such as α - and β -ionone, are key aroma and flavor elements in attracting the agents of pollination and seed dispersal (18–20). Carotenoids serve as precursors for abscisic acid (ABA) and strigolactones, phytohormones that function in plant development as well as in response to the environment (21–25), and have also been implicated in the production of other regulatory signaling molecules (26, 27). Despite diverse roles in plant growth and development, functional analyses of carotenoids have largely been focused on aboveground organs.

In a chance observation, we discovered that *Arabidopsis* seedlings treated with carotenoid biosynthesis inhibitors produced very few LRs, suggesting that carotenoids have important functions in LR formation. Here, we show that carotenoids are required for prebranch site formation, indicating they are necessary for establishing the pattern of LRs along the root's longitudinal axis. Additionally, we provide genetic and pharmacological evidence that an uncharacterized β -carotenoid-derived apocarotenoid functions in this process. Expression data suggest carotenoid biosynthesis is preferentially excluded from the region of the root tip encompassing the OZ and appears to peak in more differentiated regions. We suggest that this apocarotenoid provides a non-cell-autonomous cue necessary for the establishment or maintenance of the sites competent to form an LRP.

Results

LR Capacity Assay as a Measure of Competence for Root Branching.

The current paradigm for assessing changes in LR development in various genotypes and/or growth conditions is to determine LR density (the number of LRs and LRPs observed per unit length of the primary root) (28). Although this method provides insight into the development of an LRP based on cellular morphology, it cannot provide information regarding prebranch sites, because they are defined by expression of the *pDR5:Luciferase* (*pDR5:LUC*) reporter gene and thought to occur before morphological changes (12). Additionally, because it has been shown that prebranch site formation is periodic and that a consistent number of prebranch sites are formed even in conditions that decrease primary root length by nearly 50% (12), root length is a less critical parameter than time when considering establishment of competence to form LRs. Thus, we view LR density as an inadequate means to capture a root's "capacity" (the total number of competent sites) for LR formation.

We observed that seedlings treated with two chemical inhibitors of the carotenoid biosynthesis pathway, norflurazon (NF; Chem Service) or 2-(4-chlorophenylthio)-triethylamine hydrochloride (CPTA) (Fig. 1A), had reduced primary root length and very few, if any, emerged LRs (Fig. 1B and C and Fig. S24). However, changes in LR number may occur as a result of changes in either the developmental progression of an LRP or the number of prebranch sites. Because we are primarily interested in the establishment of competence to form LRs over a given time period, as opposed to the developmental progression of LRPs, we sought an assay that would allow facile assessment of a root's capacity for LR formation in different genotypes and growth conditions.

Prebranch site number is determined by the number of sites with *pDR5:LUC* activity along the primary root at 8 d after stratification (das) (12). To compare the number of prebranch

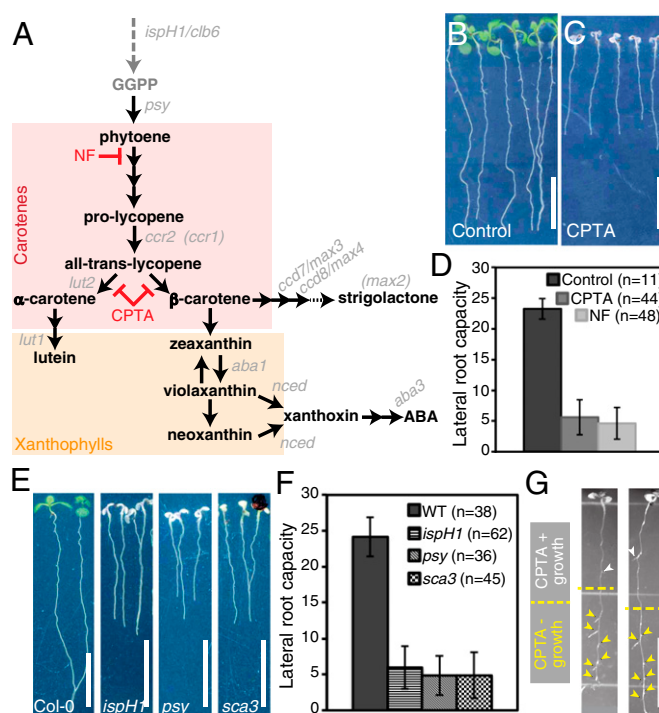


Fig. 1. Carotenoid-deficient seedlings produce few LRs. (A) Simplified version of the carotenoid biosynthesis pathway. Carotenoid- and apocarotenoid-specific biosynthesis steps are depicted in black, and upstream steps are shown in dark gray. Carotenoid biosynthesis mutants used in this study are shown in gray italics, and those with an indirect impact are shown in parentheses. The dotted arrow to strigolactone represents unknown final steps. Columbia-0 (Col-0) seedlings were grown under control (B) and CPTA treatment (C) conditions. (D) Quantification of LR capacity in control and NF- or CPTA-treated seedlings. (E) Col-0 and *ispH1/clb6*, *psy*, and *sca3* albino seedlings. (F) Quantification of LR capacity in Col-0 and albino seedlings. The difference between control and treated or control and mutant in D and F, respectively, is statistically significant (Student t test, $P < 1 \times 10^{-6}$). (G) CPTA-treated seedlings transferred to control medium (yellow dotted line indicates root tip position at transfer). Arrowheads indicate emerged LRs: those formed in the presence of CPTA (white) and those formed after transfer (yellow). Error bars represent SD. (Scale bars: 1 cm.)

sites with the number of LRs and LRPs at 8 das, seedlings were assayed for prebranch sites and then fixed and cleared to count LRs and LRPs. Upon clearing, fewer LR/LRP sites than prebranch sites were observed in the same roots, and the difference between these numbers on a root-by-root basis was variable (Table S1). This variability in the number of LR/LRP sites in cleared roots compared with the prebranch site number is likely to be due to natural variability in the developmental progression of competent sites to LRs between individual roots. Additionally, it is possible that early-stage LRPs are missed in cleared roots due to root orientation on the slide or tissue damage incurred during processing. These results indicate that although LR/LRP number can be interpreted as a measure of how many prebranch sites have progressed to form LRPs or LRs, it has limited reliability in accounting for a root's total capacity to form LRs.

Although assessing prebranch site number on the basis of *pDR5:LUC* activity is straightforward, luciferase imaging systems of sufficient resolution are expensive and not readily available, and crossing this reporter to many genotypes with putative phenotypes in LR formation can be onerous. Therefore, we developed an assay that is simple and provides a more accurate measure of LR capacity. In brief, the root tip is excised just above the OZ, and the seedlings are then grown for several more days (Materials and Methods). We find root tip excision promotes the developmental progression of nearly all prebranch

observed in carotenoid-deficient seedlings (compare Fig. 2*E* and Fig. 1*D*). Thus, even under carotenoid-deficient conditions, once a prebranch site is formed, it can go on to initiate an LRP, suggesting that carotenoid biosynthesis is necessary to establish the normal number of prebranch sites.

To determine if carotenoids are important for the oscillatory process that establishes prebranch sites, we examined real-time *pDR5:LUC* expression in carotenoid-deficient seedlings. In CPTA-treated roots, the oscillation of *pDR5:LUC* was arrhythmic and appeared poorly organized compared with controls (Fig. 2*F* and *G* and Movies *S1* and *S2*). These results suggest that the LR clock is disrupted in carotenoid-deficient seedlings, rendering them unable to establish the LR prepattern.

Expression of Carotenoid-Related Genes Supports a Role for Carotenoids in LR Formation Independent of the LR Clock's Oscillation in Gene Expression. Carotenoid-related gene expression was not predicted to be part of the oscillatory mechanism of the LR clock because carotenoid-related gene ontology (GO) terms are not significantly enriched among the oscillating gene expression dataset (12). To examine more closely how carotenoid-related genes are expressed in the oscillating dataset, a list of “carotenoid metabolism” genes was assembled (Table S2). Of the 155 carotenoid metabolism genes, 13% can be described as oscillating, a similar proportion as found in the whole genome (17%), with similar proportions oscillating in either phase or antiphase with *pDR5* expression. Of the 16 genes encoding core carotenoid biosynthesis enzymes (35), three are present among the oscillating genes (12); however, they are not confined to a specific branch or part of the biosynthesis pathway (Table S2), and each shows a low mean expression value (<1 in all but two of 39 samples for one of the three genes). These results indicate that, overall, carotenoid metabolism or biosynthesis genes are not part of the oscillatory transcriptional output of the LR clock.

To gain additional insight into how carotenoid biosynthesis might relate to the LR clock, spatiotemporal expression of the core carotenoid biosynthesis genes was examined in the RootMap, a high-resolution compilation of transcriptional profiling data from cell lineages and developmental regions along the longitudinal axis of the root (6). Hierarchical clustering by cell types revealed that expression of the core carotenoid biosynthesis genes is high in cell types closely associated with LR formation and development (Fig. 3*A*). In the longitudinal dataset, a group of the core carotenoid biosynthesis genes shows higher expression in the more differentiated portions of the root (Fig. S3*A*). These expression data suggest that carotenoid biosynthesis preferentially occurs in the pericycle cell types and differentiated portions of the root, and thus is consistent with a role for carotenoid biosynthesis in LR formation.

Expression of the Rate-Limiting Carotenoid Biosynthesis Gene, *PSY*, Is Spatially Restricted in the Root. *PSY* encodes the enzyme that catalyzes the first committed step of carotenoid synthesis. Varying expression or activity of *PSY* alters flux through the carotenoid biosynthesis pathway (36–38). We predicted that the expression pattern of *PSY* would provide functional insight into the carotenoid biosynthesis pathway in roots. However, due to low expression values (<1 in all but two of 25 samples), its expression was difficult to determine from the RootMap (Fig. S3*A*).

To conduct a more detailed transcriptional analysis of this key enzyme, a *PSY* transcriptional reporter was generated by fusing 3.5 kb upstream of the *PSY* start codon to the luciferase reporter gene (*pPSY:LUC*). In the root, *pPSY:LUC* showed a spatially varying expression pattern with highest activity in the more differentiated portions of the root and diminishing activity toward the OZ, where it is undetectable (Fig. 3*B–E*). Unexpectedly, it appears that expression of *PSY* is specifically excluded from the OZ. A similar pattern of *pPSY:LUC* expression was also observed in longer LRs (Fig. 3*B* and *D*). Additionally, luciferase activity was observed in the LRP, at the junction between the primary root and LRs (Fig. 3*B*, *D*, *F*, and *G*) and at the very tip of the root, which likely corresponds to the LR cap. To examine

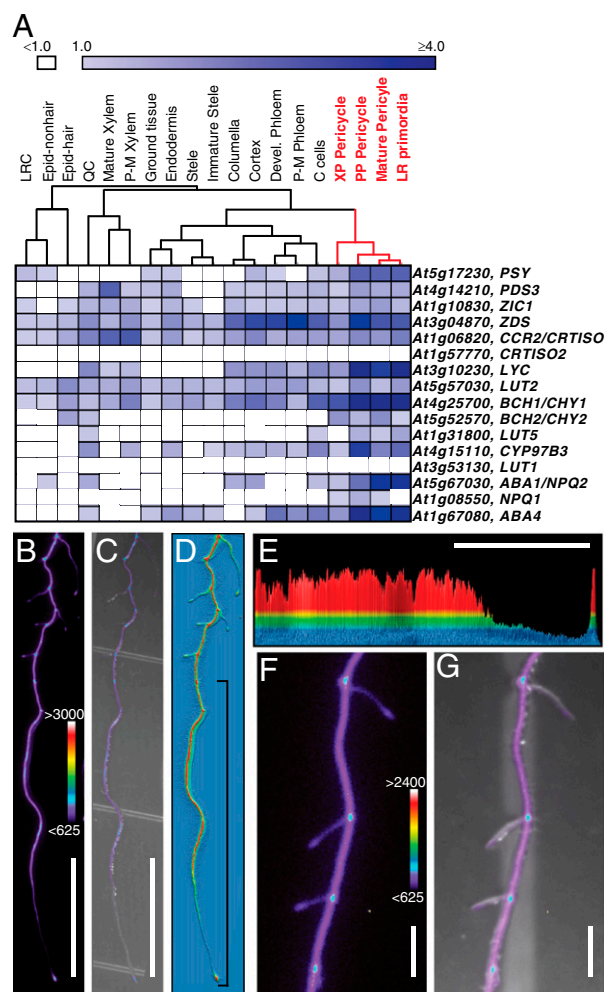


Fig. 3. Expression of carotenoid biosynthesis genes is consistent with a role for carotenoids in LR formation. (A) Heat map of mean expression values of the core carotenoid biosynthesis genes in the root cell types (6). Core carotenoid biosynthesis gene expression is higher and most similar among cell types closely associated with LR formation (red). Note these genes are listed by their position in the pathway with *PSY* at the top (35). C cells, companion cells; Devel. Phloem, developing phloem; Epid-hair, epidermis hair cells; Epid-nonhair, epidermis nonhair cells; LRC, lateral root cap; QC, quiescent center; P-M phloem, proto- and metaphloem; P-M xylem, proto- and metaxylem; PP Pericycle, phloem pole pericycle; XP pericycle, xylem pole pericycle. White boxes indicate mean expression values of <1.0. (B–G) *pPSY:LUC* reporter gene expression in roots. (B) Luciferase activity. (C) Overlay of luciferase activity from B and bright-field image. (D and E) Histograms of luciferase activity from B; red represents high values, and blue represents no expression. The bracket in D indicates the region shown in E. (E) Two-dimensional representation of D with LUC activity on the y axis and with the root along the x axis (root tip at right). (F) Luciferase activity at the base of LRs and in later stage primordia. (G) Overlay of luciferase activity from F and bright-field image. Color bars represent the range of ADU for luciferase activity. (Scale bars: B, C, and E, 10 mm; F and G, 1 mm.)

the functional domain of the encoded protein, *PSY* translational fusions were generated. The *pPSY:PSY:LUC* transgene rescued *psy* mutant seedlings (Table S3), confirming that the transgenic *PSY* coding region was functional and that the promoter region was sufficient to recapitulate endogenous *PSY* expression. However, luciferase activity was weakly detectable only after very long exposure times (Fig. S3*B–E*), and *PSY* localization could not be ascertained.

PSY was overexpressed by driving expression with the *UBIQUITIN 10* promoter (*pUBQ10*) in the presence and absence of visual markers (*pUBQ10:PSY:GFP*, *pUBQ10:PSY:LUC*, and

pUBQ10:PSY). All of these overexpression constructs complemented *psy* mutant seedlings (Table S3). The PSY:GFP fusion protein was detectable in plastids when overexpressed (Fig. S4 A–F), but it was not detectable and failed to rescue *psy* mutants when expressed under the endogenous promoter (Table S3). Additionally, despite overexpression and very high expression of the *pUBQ10:LUC* control (Fig. S3 H–J), PSY:LUC activity was weakly detectable only after long exposure times (Fig. S3 F and G). The most parsimonious explanation for these results is based on the localization of PSY to the plastoglobules (39). We propose that the suborganellar localization of PSY may render PSY:GFP fusions only partially functional and C-terminally fused LUC inaccessible to its substrate, luciferin, which is required for visualization of the marker. These observations suggest that although C-terminal reporter constructs are biologically functional *in planta*, they are not useful for analysis of endogenous PSY expression *in planta*. Nevertheless, expression of *pPSY:LUC* is consistent with spatial restriction of PSY activity and, therefore, carotenoid biosynthesis. This restriction to more differentiated regions of the root and apparent preferential exclusion from the OZ suggest a non-cell-autonomous function for a carotenoid derivative along the longitudinal axis.

Plants expressing *PSY* under the constitutive 35S (35S:*PSY*) (36) or *UBQ10* promoter did not show alterations in LR capacity compared with control seedlings (Fig. S4G). Because plants overexpressing *PSY* (35S:*PSY*) have increased levels of carotenes, particularly in the roots (36), these results indicate that LR clock function is not disrupted by a general increase in carotenoid content. Additionally, they suggest that synthesis of the carotenoid derivative (apocarotenoid) that functions in LR formation is controlled downstream of precursor availability. This type of control would be similar to the apocarotenoid ABA, which is not produced in excess in leaves upon overexpression of *PSY* (40) and whose rate-limiting step typically occurs at the enzymatic cleavage of its carotenoid precursor when precursors are not limiting (41–44).

Genetic Analyses Implicate a β -Carotene Derivative Distinct from ABA or Strigolactone in LR Formation. The cyclization of lycopene is a key branch point in the carotenoid biosynthesis pathway, leading to α - and β -carotene and their respective derivatives. Because CPTA blocks the cyclization of lycopene and reduces LR capacity, we hypothesized that a downstream carotenoid is required for LR formation. To narrow down the list of candidate carotenoids further, plants with mutations in key carotenoid metabolism genes were examined for changes in LR capacity.

The α -carotene branch of the pathway was examined beginning with the *carotenoid chloroplast regulatory 1 (ccr1)*/set domain group 8 (*sdg8*) and *ccr2* [carotenoid isomerase (CRTISO)] mutants. Mutation of either *ccr1* or *ccr2* results in limited flux through the α -carotene branch, and these genes are required for normal lutein accumulation (45, 46) (Fig. 1A and Fig. S1C). In *ccr1* seedlings, LR capacity was not significantly different from WT. The *ccr2* seedlings showed a decrease in LR capacity compared with WT, although this decrease was modest, particularly compared with seedlings in which carotenoid biosynthesis was inhibited (Fig. 4A and B). Although CCR2 encodes a CRTISO and isomerization of lycopene occurs upstream of cyclization (Fig. S1C), nonenzymatic isomerization of lycopene occurs in light (photoisomerization), leading to suppression of the biochemical phenotype (47). Depending on growth conditions and tissue or plastid type, *cis*-carotenoids accumulate and xanthophyll levels are reduced in *ccr2* mutants (45, 46). Therefore, the decrease in LR capacity in *ccr2* may indicate that LR formation is sensitive to even minor perturbations in flux through the carotenoid biosynthesis pathway. To address the requirement for α -carotene or its derivatives in LR formation more specifically, we next examined LR capacity in seedlings with mutations in the LUTEIN 2 (*LUT2*, EPSILON CYCLASE) or LUTEIN 1 (*LUT1*, EPSILON HYDROXYLASE) gene, both of which encode enzymes with direct roles in synthesis of α -carotene and lutein, respectively (48–50). Seedlings with mutations in either the *LUT2* or *LUT1* gene showed

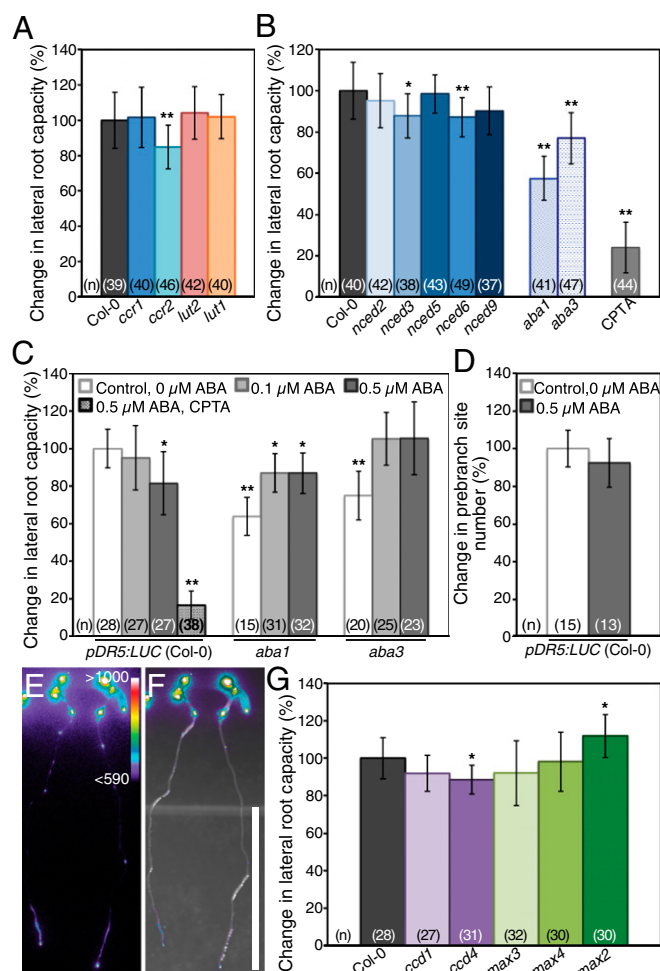


Fig. 4. Mutant analyses and ABA supplementation indicate that a β -carotene derivative distinct from ABA or strigolactone participates in LR formation. Quantification of LR capacity in mutants required for α -carotene biosynthesis (A) and mutations in each *NCED* and two ABA biosynthesis genes compared with their respective controls (B). For comparison, the change in LR capacity of CTPA-treated seedlings is included. (C) Quantification of LR capacity in control, 0.1 μ M ABA, 0.5 μ M ABA or CPTA, and 0.5 μ M ABA-treated seedlings. (D) Quantification of prebranch site number in control and 0.5 μ M ABA-treated seedlings [this difference is not statistically significant (Student *t* test, $P > 0.05$)]. (E and F) *pDR5::LUC* expression in seedlings grown on CPTA and 0.5 μ M ABA. Luciferase activity (E) and overlay of bright-field and luciferase activity (F) are shown. Color bar in E represents range of ADU for luciferase activity. (Scale bar: 1 cm.) (G) Quantification of LR capacity in seedlings with mutations in *CCD* genes and *MAX2* compared with their respective controls. Asterisks indicate statistically significant differences compared with controls (Student *t* test: $*P < 1 \times 10^{-3}$; $**P < 1 \times 10^{-6}$). Error bars represent SD.

no change in LR capacity (Fig. 4A). Altogether, these results indicate that the α -carotene branch is dispensable for LR formation under our conditions.

Next, we examined the β -carotene branch of the pathway, from which ABA and strigolactones are derived. These apocarotenoids are phytohormones with roles in environmental response and development (21–25, 51–61). Production of apocarotenoids, including ABA and strigolactone, requires oxidative cleavage of carotenoid precursors. In *Arabidopsis*, a nine-member family of enzymes with two subgroups [four carotenoid cleavage dioxygenases (CCDs) and five 9-*cis*-epoxycarotenoid dioxygenases (NCEDs)] carries out these cleavage reactions (62). We first examined the NCEDs, which cleave 9'-*cis*-neoxanthin and/or 9-*cis*-violaxanthin as the first step toward ABA biosynthesis (Fig. S1C). The five *NCED* genes show specificity for the same substrate

in vitro but have differential expression patterns and distinct suborganellar localization within the plastid (43, 63, 64). Seedlings with mutations in *nced2* and *nced5* each had an LR capacity similar to WT controls (Fig. 4B). The LR capacity of *nced3*, *nced6*, and *nced9* seedlings was slightly decreased compared with WT, which may reflect either functional redundancy among the NCEDs or an inherent sensitivity of LR formation to alterations in carotenoid catabolism.

With functional redundancy a concern among the NCEDs, we next examined other ABA-deficient mutants. The *ABSCISIC ACID DEFICIENT 1 (ABA1)* gene is upstream of the NCEDs and encodes zeaxanthin epoxidase, which functions to convert zeaxanthin sequentially into antheraxanthin and all *trans*-violaxanthin (Fig. S1C). Plants with mutations in *aba1* accumulate high levels of zeaxanthin and are deficient in downstream carotenoids and ABA (65, 66). The *ABA3* gene is downstream of the NCEDs and encodes a molybdenum cofactor sulfuryase required at the final step of ABA biosynthesis (67–69). Seedlings with mutations in each of these genes showed a reduction in LR capacity compared with WT (Fig. 4B); however, neither mutation reduced LR capacity to the same degree as chemical or genetic inhibition of carotenoid biosynthesis (Fig. 1D and F).

We hypothesized that if the reduction in LR and prebranch site number under carotenoid-deficient conditions were primarily due to the absence of ABA, then carotenoid- and ABA-deficient seedlings supplied with exogenous ABA would resume LR and prebranch site formation. Exogenous ABA has been shown to rescue other ABA-deficient phenotypes, such as wilting (66, 69). However, exogenous ABA has also been shown to arrest LRP development postemergence without altering LRP number, suggesting that ABA function is restricted to the later stages of LR development (70). To determine if ABA has a role in the establishment of competence to form an LRP, we examined LR capacity in WT seedlings treated with 0.1 μ M and 0.5 μ M ABA. For seedlings treated with 0.1 μ M ABA, we observed no difference in LR capacity with the control, although for seedlings treated with 0.5 μ M ABA, we observed a modest but significant reduction in LR capacity (Fig. 4C). To determine whether 0.5 μ M ABA reduced the number of sites competent to form an LRP, prebranch site number was examined; however, no significant difference was observed (Fig. 4D). These ABA treatments were previously shown to reduce the number of visible LRs to approximately one-third of the control or to zero, respectively (70). Our results indicate that the LR capacity assay largely overrides the ABA-sensitive checkpoint predicted to operate in LRP development postemergence and that it is highly unlikely that ABA has an impact on the establishment of prebranch sites.

Next, we tested if exogenous ABA could rescue LR capacity in ABA- or carotenoid-deficient seedlings. We found that ABA supplementation of *aba* biosynthetic mutants increased LR capacity (Fig. 4C). Seedlings with mutations in *ABA3* are defective in the final step of ABA biosynthesis, and exogenous ABA rescued LR capacity in *aba3* mutants to WT levels. The complete rescue of LR capacity by both concentrations of ABA suggests that there is some optimum range of ABA levels for normal LR capacity but that modest changes are observed on either side of this range. Alternatively, it may suggest that altered ABA levels result in changes in carotenoid precursor availability to different signaling pathways. Mutations in the upstream gene *ABA1* result in decreases in carotenoid levels downstream of zeaxanthin, including reduction in ABA synthesis, and in *aba1* mutants, exogenous ABA also increased LR capacity but unexpectedly failed to rescue it to WT levels. In contrast to the *aba* mutants, seedlings treated simultaneously with CPTA and ABA showed no increase in prebranch site or LR capacity (Fig. 4C, E, and F). Although these results support some role for ABA in LR formation, they indicate that upon inhibition of carotenoid biosynthesis, ABA deficiency is not sufficient to account for the reduction in LR capacity and prebranch site number observed.

The four CCDs have diverse substrates, cleavage activities, and subcellular localization, and they also show functional redundancy (62). Two of these enzymes, CCD7/MORE AXILLARY GROWTH 3 and CCD8/MAX4, are known to be required for strigolactone biosynthesis (54, 55, 71). Seedlings with a mutation in strigolactone biosynthesis genes showed similar LR capacity as WT (Fig. 4G). Additionally, seedlings with a mutation in the *MAX2* gene, which encodes an F-box protein that functions in perception of strigolactones (61, 72), showed a slight increase in LR capacity compared with WT (Fig. 4G). A modest decrease in LR capacity was observed in seedlings with mutations in the *CCD1* and *CCD4* genes. These genetic analyses indicate that no single CCD is required for LR formation and that strigolactone is not involved in the early steps of this process. In summary, our analyses do not support a primary role for either of the two known β -carotene-derived apocarotenoid signaling molecules (ABA or strigolactone) in LR formation. Instead, an uncharacterized apocarotenoid, likely derived from the β -carotene branch of the pathway, is implicated in LR formation.

Carotenoid Cleavage Inhibitor, D15, Functions as an Inhibitor of CCD Activity in Vitro. Synthesis of distinct biologically active apocarotenoids requires specific oxidative cleavage of precursors. For example, ABA synthesis requires cleavage of 9'-*cis*-neoxanthin and/or 9-*cis*-violaxanthin at the 11,12 position (73), whereas strigolactone synthesis requires cleavage of 9-*cis*- β -carotene at the 9,10 position (71). Because the CCDs and NCEDs show functional redundancy among family members and have multiple cleavage activities and substrate promiscuity, eliminating a role for any one enzyme in LR formation based on genetic analysis is difficult. An approach to addressing these challenges is to design selective inhibitors against specific carotenoid cleavage activities. Several of these inhibitors have been shown to induce shoot branching phenotypes in WT consistent with disruption of the carotenoid cleavage that is required for strigolactone synthesis (74).

An aryl-C₃N hydroxamic acid analog, D15 (Fig. S5A), was designed and synthesized based on previously reported carotenoid cleavage inhibitors (74). D15 is a candidate inhibitor for carotenoid cleavage at the 9,10 position and was found to elicit an LR capacity phenotype in the absence of an albino phenotype (Fig. 5A–C). To determine its inhibitory function against carotenoid cleavage enzymes, we examined the activity of D15 against recombinant proteins from tomato (LeCCD1a and LeNCED1) in vitro. D15 was found to be a stronger inhibitor of LeCCD1a activity than of LeNCED1 activity (Table S4), suggesting that it is a more potent inhibitor of 9,10 cleavage enzymes (CCD) than 11,12 cleavage enzymes (NCED).

D15 Treatment Indicates an Uncharacterized Apocarotenoid Is Involved in LR Formation. Seedlings treated with D15 showed a modest reduction in primary root length but a highly significant decrease in LR capacity (Fig. 5A–C and Fig. S5B). This decrease in LR capacity was similar to that observed in seedlings in which carotenoid biosynthesis was inhibited either pharmacologically or genetically (compare Fig. 5C with Fig. 1D and F). Additionally, D15-treated seedlings have a clear reduction in prebranch site number (Fig. 5D–H). Unlike treatment with the carotenoid biosynthesis inhibitors, which resulted in a small, albino shoot phenotype (Fig. 1C), D15-treated seedlings exhibited green shoots of comparable size to controls (Fig. 5B). This observation clearly demonstrates a function for carotenoids in LR formation that can be distinguished from possible secondary impacts of carotenoid deficiency and can unequivocally be uncoupled from their role as photoprotective pigments.

Additionally, we measured ABA content in CPTA- and D15-treated roots and shoots and found that in contrast to CPTA-treated tissues, D15-treated tissues do not have reduced ABA content (Fig. S5D and E). These results are consistent with the inhibitory activity of D15 in vitro and with our phenotypic data excluding ABA deficiency as the primary basis for reduced LR capacity under carotenoid-deficient conditions. Furthermore, they

observations indicate that the albino and LR capacity phenotypes are separable and supports a more direct role for an apocarotenoid in LR formation.

A previous study with the carotenoid biosynthesis inhibitor fluridone (Fig. S1C) shows changes in cellular organization and reduced root meristem size as a result of ABA deficiency (75). Fluridone and NF (used in our studies) inhibit carotenoid biosynthesis at the same step yet are distinct compounds and may have unique secondary effects on the root meristem. Alternatively, our apparently contradictory observations that neither quiescent center division nor meristem size was affected by our treatments may be explained by key differences in experimental design. In our analyses, root growth and development are assessed after continuous carotenoid (and ABA) deficiency. In contrast, Zhang et al. (75) transferred older seedlings from standard media to media containing fluridone. Thus, the changes in root morphology observed by Zhang et al. (75) may be more indicative of the response to a dramatic change in carotenoid and ABA availability, suggesting that the role for ABA in the root meristem is more complex than previously reported.

We were able to exclude primary roles for the known apocarotenoid signaling molecules, ABA and strigolactone, in LR pre patterning; however, these results do not preclude ABA and strigolactone from acting later at LR emergence and meristem activation as previously described (56, 70). Additionally, we identify a carotenoid cleavage inhibitor, D15, which reduces LR capacity and prebranch site number. The use of synthetic inhibitors to dissect the specific role(s) of the CCD enzymes in plant biology can provide important insights, given the apparent functional flexibility of these enzymes (74). D15 was designed to inhibit oxidative cleavage of carotenoids; however, it is possible that D15 also inhibits the enzymatic activity of some unknown oxygenase. Because we show that D15 has an impact on carotenoid catabolism and synthesis in vitro and in vivo, respectively, and that D15- and carotenoid biosynthesis inhibitor-treated seedlings have very similar LR formation phenotypes, we believe inhibition of carotenoid cleavage by D15 is the most parsimonious explanation for our observations.

In vitro, D15 shows greater inhibition of CCD1 9,10 cleavage activity, than of NCED1 enzymatic activity, with NCED1 activity considered the rate-limiting step for ABA synthesis (42, 43). This result suggests that an apocarotenoid derived from a 9,10 cleavage functions in LR formation; however, it should be noted that CCD activity in vitro may not necessarily reflect the in vivo situation. Thus, it is possible that an apocarotenoid derived from cleavage at another position is required for LR formation. Despite this caveat, the in vitro activity of D15 is consistent with our results showing that exogenous ABA neither retards LR capacity in WT nor rescues the reduction in prebranch site or LR capacity upon inhibition of carotenoid biosynthesis. Additionally, we showed that changes in carotenoid content upon D15 treatment do not alter ABA content in *planta*. Therefore, our results are not consistent with a role for ABA as the apocarotenoid involved in establishing the LR prepattern and, instead, implicate an uncharacterized apocarotenoid in LR formation.

The other carotenoid cleavage inhibitors examined also showed preferential inhibition of CCD activity. However, the unique phenotypic consequences of D15 treatment on LR capacity suggest that there is specificity in D15 activity in *planta*. These inhibitors may have variable activity in *planta* for a variety of reasons, such as differential metabolism, uptake, or trafficking (74). Alternatively, they may exhibit variable levels of target specificity in a cellular context. Given that off-target effects were not observed for the other carotenoid cleavage inhibitors (74) and that we observed changes in carotenoid content with D15 treatment, it is unlikely that D15 reduces prebranch sites and LR capacity by some off-target effect.

The *in planta* impact of D15 on carotenoid cleavage enzyme activity was evidenced by enhanced carotenoid content, primarily in root tissues. These data, together with expression of core carotenoid biosynthesis genes in the root, indicate that it would not

be necessary for an apocarotenoid to be transported from the shoot to participate in LR formation. Carotenoid metabolism in the shoot appeared less sensitive to the inhibitory activity of D15, with only a slight reduction in zeaxanthin levels. Interestingly, the zeaxanthin epoxidase (*aba1*) mutant showed a stronger reduction in LR capacity than other nonalbino mutants, and this reduction could not be fully rescued by exogenous ABA. These observations indicate that the decrease in LR capacity in *aba1* mutants cannot be fully attributed to decreased ABA levels and, instead, may reflect the contribution of other carotenoids downstream of zeaxanthin to LR formation. These observations are also consistent with differences among plastid organelles, such as the leucoplast (root) and chloroplast (shoot), in regulation and accumulation of carotenoids in response to specific cellular, developmental, and environmental cues (26).

There are two possible explanations for the increase in carotenoid content in D15-treated roots. First, and most directly, D15 may inhibit a root-specific carotenoid cleavage enzymatic reaction, thereby altering carotenoid catabolism and flux through the pathway. Alternatively, the increase in carotenoids may be due to metabolic feedback on the expression or activity of a key biosynthesis enzyme, such as PSY, which, if increased under D15 treatment, could lead to elevated carotenoid accumulation (76). However, in the latter case, root- and shoot-specific regulation of PSY transcription or activity would likely have to be invoked because there is but a single PSY gene in the *Arabidopsis* genome. Regardless, the decrease in LR capacity and prebranch site number upon D15 treatment supports the hypothesis that an as-yet unidentified carotenoid-derived molecule is specifically required for LR formation.

Apocarotenoids, other than ABA and strigolactone, serve important functions in plant biology, and it is predicted that there remain yet to be defined roles for apocarotenoids in plants. For example, β -cyclocitral, a β -carotene-derived molecule, was recently found to induce changes in nuclear gene expression and is predicted have a signaling function in response to environmental stress (77). Additionally, characterization of the BYPASS1 gene revealed a graft-transmissible signal emanating from the growing *bps1* mutant root, which was sufficient to arrest WT shoot development (78, 79). This graft-transmissible signaling molecule could not be ascribed to any of the known plant hormones; however, disruption of carotenoid biosynthesis suppresses the growth arrest phenotype, suggesting that the so-called "BYPASS" (BPS) signal is carotenoid-derived (27). The BPS signal is predicted to function as a negative regulator of both root and shoot growth. Because we do not observe increased growth upon D15 treatment, we would not predict that the BPS signal and the apocarotenoid putatively involved in LR formation are the same molecule. Thus, despite decades of study, much remains to be learned about the carotenoid biosynthesis pathway as the numbers and types of molecules produced and their roles in plant biology continue to expand (71, 80).

In an effort to link carotenoid biosynthesis to the LR clock, we first examined the expression of carotenoid metabolism genes in the root. Although the tissue-specific expression of these genes was consistent with a role for carotenoids in LR formation, there was no evidence that carotenoid-related genes are part of the oscillatory transcriptional mechanism of the clock. If these genes are not part of the oscillatory mechanism, then how is carotenoid biosynthesis linked to the LR clock? Because inhibition of carotenoid biosynthesis disrupts both the output of the LR clock (LR capacity) and the oscillation in *pDR5:LUC* expression itself, we propose that carotenoid biosynthesis is necessary for the establishment of the LR prepattern, a process that begins in the OZ.

The root expression pattern of PSY, a key carotenoid biosynthesis gene, suggests that PSY protein is spatially restricted with a more shoot-ward maximum and preferential exclusion from a region of the root tip encompassing the OZ. Although transcript abundance does not necessarily reflect protein levels or activity, this gene expression pattern implies that PSY activity, and therefore carotenoid biosynthesis, is specifically absent from

this region. This spatial restriction would necessitate a non-cell-autonomous function for carotenoids (or apocarotenoids) in both the oscillation of gene expression and the establishment of prebranch sites. Because carotenoids are large hydrophobic molecules, carotenoid cleavage would be necessary for production of a mobile apocarotenoid. We propose that this apocarotenoid may function as a positional cue along the root's longitudinal axis, which is necessary for normal LR pre-patterning. This apocarotenoid may be necessary but not sufficient, because we predict that it functions in conjunction with the oscillatory transcriptional mechanism of the LR clock to establish the LR prepattern. The expression of core carotenoid biosynthesis genes also supports a further role for carotenoids in LR formation following prebranch site formation. Thus, an alternative hypothesis is that this apocarotenoid serves as a cue from the more developed LRP, functioning to coordinate the LR clock with the development of existing primordia. Although the precise role of this carotenoid-derived signaling molecule remains to be discovered, our data are consistent with the non-cell-autonomous function of an apocarotenoid signal in establishment and/or maintenance of the LR prepattern.

Materials and Methods

Detailed information on materials and methods used in this study is provided in *SI Materials and Methods*.

Plant Growth and Treatment Conditions. Seeds were surface-sterilized and plated on 1% (wt/vol) Murashige and Skoog agar media. Seeds were stratified on growth medium at 4 °C for 2–3 d. They were then placed vertically and grown under long-day conditions at 22 °C, under 85–150 $\mu\text{mol}\cdot\text{m}^{-2}\cdot\text{s}^{-1}$ illumination. Seedlings were examined at 8 das unless otherwise noted.

Plant Materials. All seed lines are in the Columbia-0 background, except for 355:PSY, which is in the Wassilewskija background. Transgenic reporter and overexpression lines and mutant alleles were received from the *Arabidopsis* Biological Resource Center and members of the *Arabidopsis* community.

Reporter Gene Construction and Plant Transformation. Reporter genes were constructed by standard molecular biology methods and Invitrogen Multisite Gateway technology. Plants were transformed by the floral dip method, and transformants were identified using standard methods. Information about primers (Table S5) and genetic analyses of transformants (Table S3) is provided in *SI Materials and Methods*.

Quantification of Root Phenotypes. Root, meristem, and cell lengths were measured from images of seedlings or confocal images using ImageJ software (National Institutes of Health). Roots were fixed and cleared using methods previously described (28), and LR/LRP number was examined under differential interference contrast illumination. In the LR capacity assay, the root

tip is excised at 8 das and seedlings are grown for 3 more days; LR and late-stage LRPs are then counted under a dissecting microscope.

Imaging and Confocal Microscopy. Luciferase activity was assayed as previously described (12) with exposure times of 3–5 min or 40 min (as indicated). Bright-field and luciferase images were overlaid using Photoshop (Adobe Systems). Laser scanning confocal microscopy (Zeiss LSM 510 microscope) was used to examine roots stained with 10 μM propidium iodide to visualize cellular organization.

In Silico Analysis of Carotenoid-Related Gene Expression. The carotenoid metabolism gene list was generated by compiling the genes associated with GO categories describing carotenoid and apocarotenoid processes (www.arabidopsis.org) and from recent publications (Table S2). This list and the 16 core carotenoid biosynthesis genes (35) were examined in the OZ dataset (12). Hierarchical clustering was conducted using the Multi-plex Experiment Viewer (version 4.8) program (81).

In Vitro CCD Inhibitor Assays. The in vitro inhibitor assays were largely conducted as previously described (74). Additional details are provided in *SI Materials and Methods*. LeNCED1 and LeCCD1a were overexpressed in *Escherichia coli* as an N-terminal GST fusion protein. Inhibition assays in cell-free extracts contained 100 μM inhibitor or water.

HPLC Analyses and ABA Quantification. Seeds were sown in two to three rows on control (ethanol) and 100 μM D15-containing plates lined with 100 μm Nitex nylon mesh (Genesee Scientific). At 7 das, tissue was collected and stored at –80 °C. HPLC-based quantification of carotenoids was performed as previously described (46, 49). ABA content was quantified using a modified ELISA-based method. Tissue was collected as described above and then ground to a fine powder from which ABA was extracted and quantified using the Phytodetek ABA Quantification Kit (Agdia). Details are provided in *SI Materials and Methods*.

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